

UNITED STATES PATENT APPLICATION

For:

**A HYBRID NETWORK ENCRYPT/DECRYPT SCHEME**

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**A HYBRID NETWORK ENCRYPT/DECRYPT SCHEME****FIELD OF THE INVENTION**

This invention relates generally to encryption/decryption schemes, and more particularly, to a hybrid network encryption/decryption scheme for a service provider to transmit decryption information to clients in a secure manner and at low cost for use in decrypting broadcast services.

**BACKGROUND OF THE INVENTION**

Systems and methods for securely controlling access to information distributed to information receivers in a point-to-point or point-to-multi-point network are known as conditional access systems. The distributed information may include video, audio, text, data and/or any other type of information that may be subjected to conditional access, and is typically encrypted for transmission in accordance with an encryption algorithm. An encryption algorithm is a method by which a given signal is processed with a key to transform the signal into an encrypted signal. Parameters of an encryption algorithm may determine information such as the order of selection for processing of bits in the signal, the key and intermediate signals produced by such processing, and the sequence of such processing. The encrypted information is decrypted by an information receiver in accordance with a corresponding encryption algorithm, key and parameters.

**SUMMARY OF THE INVENTION**

A hybrid network encryption/decryption scheme is disclosed to enable a service provider to transmit decryption information to clients in a secure manner and at low cost for use in decrypting broadcast services.

An exemplary method for a service provider to transmit decryption information in a secure manner includes: receiving a request for a service from a requestor over a bi-directional channel; authenticating the requestor; transmitting first decryption information to the requestor over the bi-directional channel for use in decrypting the service; transmitting the service, encrypted with encryption information corresponding to the first decryption information, over a unidirectional channel; generating second decryption information for use in decrypting the service at a later time; transmitting the second decryption information over the unidirectional channel; and transmitting the service, encrypted with encryption information corresponding to the second decryption information, over the unidirectional channel.

In an embodiment directed to a method for a client to receive decryption information from a service provider in a secure manner, an exemplary method includes: requesting a service over a bi-directional channel; transmitting authentication information over the bi-directional channel; receiving first decryption information over the bi-directional channel; receiving the service over a unidirectional channel; decrypting the service using the first decryption information; receiving second decryption information over the unidirectional channel; and decrypting the service using the second decryption information.

Other and further aspects of the present invention will become apparent during the course of the following description and by reference to the attached drawings.

**BRIEF DESCRIPTION OF THE DRAWINGS**

FIG. 1 is a block diagram illustrating an exemplary system of the present invention.

FIG. 2 is a time domain diagram illustrating the relationship between macro periods and micro periods.

FIG. 3 is a time domain diagram illustrating time windows during which a service provider makes seeds available to clients.

FIG. 4 is a time domain diagram illustrating the relationship between micro periods and pico periods.

FIG. 5 is a time domain diagram illustrating the assignment of a seed to a user who has requested a service after the service has commenced.

FIG. 6 is a flow diagram illustrating an exemplary process performed by a service provider in accordance with one embodiment of the present invention.

FIG. 7 is a flow diagram illustrating an exemplary process performed by a client in accordance with one embodiment of the present invention.

**DETAILED DESCRIPTION**

Referring now to the drawings, wherein like reference numerals refer to like parts, FIG. 1 is a block diagram illustrating an exemplary system of the present invention. As shown in FIG. 1 a service provider 10 makes available one or more services to a client 12 via a unidirectional channel 16, such as a digital video broadcasting (DVB) channel. The available services may include video, audio, text and/or data in any form such as a basic or premium movie channel, a pay-per-view movie, a streaming audio file, an electronic newspaper, application software, data files, etc. Moreover, the service may be made available to the client

for the entire duration of the service (e.g., one pay-per-view movie) or some time interval thereof (e.g., one month of a premium movie channel).

Service provider 10 encrypts the services being broadcast on unidirectional channel 16 using encryption information. This encryption information may include algorithms such as DES, 3DES, Blowfish, Diffie-Hellman, RSA or the like, together with appropriate algorithm parameters and keys. In accordance with the present invention, the service provider 10 transmits decryption information (e.g., algorithms, parameters, keys) corresponding to the encryption information to clients in a secure manner and at low cost for use in decrypting the broadcast services. In an advantageous embodiment, the service provider 10 employs both a bi-directional channel 14 and the uni-directional channel 16 to transmit the decryption information to clients 12, as will be discussed in detail hereinafter.

The service provider 10 uses the bi-directional channel 14 to receive service requests and authenticate clients 12. The bi-directional channel may be either a wireless data link (e.g., GSM, GPRS, UMTS, Bluetooth, WLAN) or a wired data link (e.g., public switched telephone or cable) for establishing an on-line connection to the service provider 10. Authentication may be performed in any number of ways including a SIM card, a username/password or IP authentication methods such as Radius, Kerberos, IPsec, Point-to-Point Tunneling (PPTP) or other protocols based on a public key exchange.

Service provider 10 also uses the bi-directional channel to transmit initial decryption information (referred to herein as a "seed") needed to begin decrypting a service. Optionally, service provider 10 may also transmit decryption information that will be needed to decrypt the service at some point after the initial decryption; as will be discussed in detail, the service provider 10 preferably changes the encryption information, and thus, the corresponding

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decryption information at one or more points throughout the service. The decryption information may include the algorithm/parameters/keys needed to decrypt the service and, preferably, is accompanied by synchronization information to enable the client to determine when the decryption information may be used or, in other words, is valid. The decryption and synchronization information may be securely transmitted over the bi-directional network 16 at the IP level using IPsec, PPTP or the like. The various forms of synchronization information that may be employed will be discussed in detail hereinafter in connection with FIG. 6. As an alternative to the foregoing, the client may simply visit a shop and have decryption information (and any necessary synchronization information) installed on the client device or, alternatively, the client may receive a new SIM card in the postal mail with the necessary decryption/synchronization information securely stored in it.

In one embodiment, the time period spanning the duration of the service is referred to herein as a "macro period". At various points in time throughout the macro period, the service provider 10 may change the encryption of the service and thereby change the corresponding decryption information. These points in time are referred to herein as "micro periods". The changes may be to the keys alone, the keys and the parameters or the keys, the parameters and the algorithm, with the last option providing the most protection against unauthorized decryption of the service. In an alternate embodiment, no change is made to the decryption information. Instead, the micro periods are used only to repeat the earlier-supplied decryption information.

In advance of a micro period, service provider 10 transmits updated decryption information (preferably with synchronization information) to the client 12 on the unidirectional channel to enable client 12 to continue decrypting the service after the micro period has begun.

The updated decryption information may also include decryption information that will be needed to decrypt the service at some point after the next micro period (i.e., future decryption information). The updated decryption and synchronization information is encrypted using the currently valid decryption information. The client 12 may then decrypt the new decryption information using the currently valid decryption information and, at the synchronized time, begin using the updated decryption information to decrypt the service. The process of providing updated decryption information over the unidirectional network 16 is repeated at each micro period to the extent necessary until the service has ended. In an alternate embodiment, however, if the service does not end with the last micro period of the current macro period, the service provider 10 may require the client 12 to re-connect to it via the bi-directional channel 16 to obtain a new seed and synchronization information to enable it to continue decrypting the service.

FIG. 2 is a time domain diagram illustrating an exemplary relationship between macro periods and micro periods. As shown in FIG. 2, a seed 20a is provided via the bi-directional channel 14 at the beginning of a macro period 22a. Future decryption information, such as that for the first micro period 24a, may accompany the seed. Thereafter, decryption information is provided via the unidirectional channel 16 in advance of each micro period 24a-d, preferably only for those micro periods for which future decryption information was not previously provided. Updated decryption information transmitted over the unidirectional channel 16 is encrypted using the currently valid decryption information. For example, the decryption information for the first micro period 24a is encrypted using the seed 20a. Thereafter, the decryption information for the second micro period 24b is encrypted using the decryption information associated with the first micro period 24a. This process is repeated until the last

micro period 24d. As previously indicated, if the service has not ended after the last micro period 24d, the client 12 may be required to reconnect to service provider 10 via the bi-directional channel 14 and obtain a new seed 20b for the second macro period 22b.

FIG. 3 is a time domain diagram illustrating time windows during which a service provider makes seeds available to clients. As shown in FIG. 3, a time window 30a is established by service provider 10 during which clients 10 may connect to service provider 10 via the bi-directional channel 14 to request a service and obtain a seed in advance of service transmission. Thus, "pre-fetching" of decryption information is provided. In one embodiment, this may include future decryption information in addition to a seed. As shown in FIG. 3, time windows 30a and 30b are designated for obtaining seeds 20a and 20b, respectively. Moreover, different time windows may be randomly assigned to different clients 12 to minimize the load that would be caused by all clients 12 connecting to the service provider 10 over the bi-directional channel to obtain decryption information at the same time.

FIG. 4 is a time domain diagram illustrating the relationship between micro periods and pico periods. As shown in FIG. 4, each micro period 24 may be divided into one or more "pico periods" 40 during which the decryption information that is valid for that micro period 24 is re-broadcast on the uni-directional channel 16. Thus, a client 12 who failed to receive the decryption information for the current micro period (for reasons such as switching the receiver off, wandering outside of the coverage area or encountering interference) can obtain it without having to re-connect to the service provider 10 via the bi-directional channel 14. In the absence of repeating the current decryption information during the current micro period 24, if the current decryption information was not previously transmitted to the client 12 as future



decryption information, a connection to the service provider 10 via the bi-directional channel 14 must be established.

FIG. 5 is a time domain diagram illustrating the assignment of a seed to a user (in FIG. 5, User "B") who has requested a service after service transmission has commenced but before the start of the first micro period. As shown in FIG. 5, at some point in advance of the service transmission start time "t0", user A connects to service provider 10 via the bi-directional channel 14 and receives a seed 20a for decrypting a service. At the service transmission start time "t0", user A begins using the seed 20a to decrypt the service. At some point in advance of the first micro period 24a start time "t1", user A will receive updated decryption information over the uni-directional channel for use in decrypting the service during the first micro period 24a. The updated decryption information will be encrypted using the seed 20a.

Also at some point in advance of the first micro period 24a start time "t1", user B connects to service provider 10 via the bi-directional channel 14 and receives decryption information for use in decrypting the service during the first micro period 24a. Note that this is micro-period decryption information for User A, but a seed for User B. Thereafter, at some point in advance of the next micro-period 24b, both Users A and B will receive updated decryption information over the uni-directional channel for use in decrypting the service during the second micro period 24b. The updated decryption information will be encrypted using the decryption information for micro period 24a. This process is repeated between times "t2" and "t3" until the end of the last micro period, at which time, if the service has not ended, both users A and B preferably will need to reconnect to service provider 10 via the bi-directional channel 14 to obtain the seed for the next macro period 22.

FIG. 6 is a flow diagram illustrating an exemplary process performed by a service provider in accordance with one embodiment of the present invention. In step 600, service provider 10 assigns a security scheme to one or more services. In an exemplary embodiment, this involves defining one or more macro periods for the duration of the service (e.g., start and stop times together with initial encryption/decryption information ( $e_0, d_0$ )), one or more micro periods for each macro period (e.g., start and stop times together with encryption/decryption information ( $e, d$ )<sub>1-N</sub>) and one or more pico periods for each micro period (e.g., start and stop times). It will be appreciated that as an additional security measure against unauthorized decryption of the service, not all of the details of the security scheme need be determined in advance of service transmission.

In step 602, at some time in advance of service transmission, such as the time window discussed above in connection with FIG. 3, service provider 10 receives requests for a service from clients 12 via the bi-directional channel 14. In step 604, service provider 10 authenticates the requestors. As discussed above in connection with FIG. 1, authentication may be performed in any number of ways including a SIM card, a username/password or IP authentication methods such as Radius, Kerberos, IPsec, Point-to-Point Tunneling (PPTP) or any other protocols based on a public or private key exchange. In step 606, service provider 10 transmits information needed to receive the service to the requestors via the bi-directional channel 14. Such information may include the unidirectional channel assignment for the service, the start time, the seed for decrypting the service  $d_0$  together with any future decryption seeds and any synchronization information.

The synchronization information provided to the client 12 may take various forms. For example, synchronization information may include an announcement that after a

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certain packet number, decryption should be performed using particular decryption information. Alternatively, synchronization information may include a "heartbeat" signal transmitted continuously on the unidirectional channel 16. In this embodiment, the service provider 10 changes the frequency of this signal to indicate that the decryption information has changed. In an alternate embodiment, service provider 10 transmits an announcement about the decryption information currently in use (e.g., it is now time to use algorithm 5 with keys 1, 2 and 8, and parameters 5 and 7). The decryption information itself, however, is transmitted in encrypted form. In yet an alternate embodiment, the service provider 10 does not provide any synchronization information to the client 12. Instead, the client 12 receives two consecutively valid sets of decryption information and simply tries to decrypt the service being transmitted using both sets of information and uses the set that works.

In step 608, the service provider 10 determines whether the macro period start time has arrived. If the macro period start time has not arrived, then the service provider 10 continues attending to service requests by repeating steps 602-606. However, if the macro period start time has arrived, then in step 610, service provider 10 encrypts the service using  $e_0$  and begins broadcasting the service on the uni-directional channel 16.

In steps 612-616, service provider 10 continues attending to requests for the service being broadcast, which includes receiving requests from clients 12 via the bi-directional channel, authenticating requestors and transmitting information needed to receive the service to the requestors. In step 618, service provider 10 determines whether the first micro period is about to begin. If the first micro period is not about to begin, then steps 612-616 are repeated and service provider 10 continues attending to service requests. However, if the first micro period is about to begin, then, in step 620, service provider 10 encrypts the decryption

information associated with the first micro period (i.e.,  $d_1$ ) using  $e_0$  and broadcasts the service on the unidirectional channel. The service provider also provides the recipients with any necessary synchronization information and future decryption information, both of which are encrypted using  $e_0$ . In step 622, service provider 10 switches the encryption of the service being broadcast from  $e_0$  to  $e_1$ . Thereafter, in steps 624-628, service provider 10 continues attending to service requests via the bi-directional channel 14, which includes transmitting the currently valid decryption information (i.e., now  $d_1$ ) to the requestors via channel 14.

In step 630, service provider 10 determines whether the first pico period 40 has arrived. If it has not yet arrived, service provider 10 repeats steps 624-628. However, if the first pico period start time has arrived, then, in step 632, service provider 10 rebroadcasts  $d_1$  encrypted with  $e_0$  on the unidirectional channel together with any future decryption information and synchronization information. Then, in steps 634-638, service provider 10 continues attending to service requests via the bi-directional channel 14.

In step 640, service provider 10 determines whether there are any other pico periods associated with the first micro period. If there are other pico periods associated with the first micro period then, in step 642, service provider 10 determines whether the next pico period start time has arrived. If the next pico period start time has not yet arrived, service provider 10 simply continues attending to service requests via the bi-directional channel 14. (Steps 634-642.) However, if the next pico period start time has arrived then, in step 632, service provider 10 rebroadcasts  $d_1$  encrypted with  $e_0$  on the unidirectional channel together with any future decryption information and synchronization information. Thereafter, service provider 10 repeats steps 632-642 until there are no other pico periods associated with the first micro period. At that

point, in step 644, service provider 10 determines whether there are any other micro periods associated with the current macro period.

If it is determined in step 644 that there are other micro periods associated with the current macro period, then, in step 646, service provider 10 determines whether the next micro period is about to begin. If the next micro period is not about to begin, then the service provider 10 continues attending to service requests via the bi-directional channel 14. (Steps 648-652.) If it is determined in step 646 that the next micro period is about to begin, then, in step 654, the service provider 10 broadcasts decryption data "d" associated with the next micro period (e.g.,  $d_2$ ) on the unidirectional channel 16 together with future decryption information and synchronization information. These pieces of information are encrypted with encryption data "e" associated with the current micro period (e.g.,  $e_1$ ). In step 656, once the next micro period has started (i.e., has become the current micro period), the service provider 10 switches the encryption of the service to encryption using encryption information "e" associated with the next micro period (e.g.,  $e_2$ ).

Thereafter, in steps 658-662, the service provider 10 continues attending to service requests over the bi-directional channel, until, in step 664, it is determined that the first pico period of the current micro period has arrived. Once the first pico period has arrived, in step 666, service provider 10 rebroadcasts decryption data associated with the current micro period together with future decryption information and synchronization information to client 12 on the uni-directional channel. This information is encrypted with encryption information associated with the previous micro period. In steps 668-672, the service provider 10 continues attending to service requests over the bi-directional channel.

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In step 674, service provider 10 determines whether there are any other pico periods associated with the current micro period. If there are other pico periods associated with the current micro period then, in step 676, service provider 10 determines whether the next pico period start time has arrived. If the next pico period start time has not yet arrived, service provider 10 continues attending to service requests. (Steps 668-676.) However, in step 676, once the next pico period start time has arrived, service provider 10 rebroadcasts decryption data associated with the current micro period together with any future decryption information and synchronization information on the unidirectional channel. This information is encrypted with encryption information "e" associated with the previous micro period. Service provider 10 then repeats steps 668-676 until there are no other pico periods associated with the current micro period.

Once it is determined that there are no other pico periods associated with the current micro period, in step 674, service provider 10 returns to step 644 and determines whether there are any other micro periods associated with the current macro period. If there are other micro periods associated with the current macro period, service provider 10 repeats steps 646-676 until there are no other such micro periods associated with the current macro period. If there are no other micro periods, then service provider 10 continues to broadcast the encrypted service on the unidirectional channel 16 until the end of the macro period.

At the end of the macro period, in step 680, the service provider 10 determines whether the service being broadcast on the unidirectional channel 16 has ended. If the service has not ended, service provider 10 repeats steps 602-676 for each subsequent macro period allocated to the service, and, in so doing, preferably requires client 12 to re-authenticate itself and obtain a new seed via the bi-directional channel for each macro period. Alternatively, the

seed may be provided over the unidirectional channel and be encrypted using the encryption information from the last micro period of the previous macro period.

If it is determined in step 680 that the service has ended, then in step 682, service provider 10 confirms that the encryption scheme also has ended on the unidirectional channel. If the encryption scheme has ended, then service provider 10 returns to step 600 where it can reallocate the same encryption scheme, or a modified version thereof, to another service. However, if service provider 10 determines in step 682 that the encryption scheme has not ended then, in step 684, an encryption programming error must have occurred because both the service and the encryption scheme should have ended at the same time.

FIG. 7 is a flow diagram illustrating an exemplary process performed by a client in accordance with one embodiment of the present invention. In step 700, client 12 connects to the service provider 10 via a bi-directional channel 14 and requests a service. In step 702, client 12 transmits authentication information to the service provider 10 via the bi-directional channel. In step 704, after successful authentication, client 12 receives the current decryption information (e.g., a seed) together with any future decryption information and synchronization information via the bi-directional channel. In step 706, client 12 begins receiving the service encrypted with the current encryption information via the unidirectional channel 16. In step 708, client 12 decrypts the service using the current decryption information.

In step 710, client 12 determines whether the service has been dropped. If the service has been dropped, client 12, in step 712, determines whether the current decryption information that it was using in step 708 to decrypt the service is still valid. This may be accomplished using synchronization information provided by the service provider 10 or, alternatively, may be determined by the client 12 through trial and error. If the client 12

determines that the current decryption information is not valid then, in step 714, it determines whether any of the stored future decryption information (e.g., that received in step 704) is now valid. The validity of the future decryption information may be determined in the same manner as the validity of the current decryption information. If none of the future decryption information is now valid, client 12 must obtain currently valid decryption information over the bi-directional channel by repeating steps 700-708.

In steps 710-714, if the service was not dropped or, if it was dropped but either the current or the future decryption information was valid, then in step 716, the client 12 continues to decrypt the broadcast service using valid decryption information. Thereafter, in step 718, the client 12 determines whether future decryption information is available from the service provider 10. Client 12 may be told by the service provider 10 over the unidirectional channel of the availability of future decryption information. If future decryption information is available then, in step 718, client 12 connects to the service provider 10 via the bi-directional channel 16 and requests the future decryption information. This preferably would involve the client 12 re-authenticating itself to the service provider 10. Alternatively, the future decryption information may be provided via the unidirectional channel using current encryption information. In either case, client 12 would store the future decryption information. In an alternate embodiment, the client 12 may store only some of the future decryption information or, may choose not to obtain any future decryption information, for example, in cases where the client 12 lacks sufficient memory to store such information.

Whether or not future decryption information is available, in step 720, client 12 determines whether the next period (micro or macro) has begun. This determination is made using synchronization information provided by the service provider 10 or, alternatively, may be



determined by the client 12 through trial and error. If the next micro or macro period has not yet begun then, in step 724, client 12 determines whether updated decryption information (encrypted with the current encryption information) has been received via the unidirectional channel. Updated decryption information may include decryption information needed for the immediately next period or future decryption information needed for later periods.

If updated decryption information has not been received, client 12 returns to step 708 and continues to decrypt the service using the current decryption information. However, if updated decryption information was received then, in step 726, client 12 decrypts the updated decryption information using the current decryption information. (The updated decryption information may also include rebroadcast decryption information needed for the current micro period, in which case, it would be decrypted using decryption information associated with the micro period preceding the current micro period.) In step 728, client 12 also receives synchronization information for the updated decryption information via the unidirectional channel. Thereafter, client 12 returns to step 708 and continues to decrypt the service using the current decryption information.

If, in step 722, it was determined that the next micro/macro period has begun then, in step 730, client 12 makes the decryption information associated with the next micro/macro period that has just begun the current decryption information. Thereafter, client 12 returns to step 708 and decrypts the service being broadcast on the unidirectional channel using the current decryption information. Client 12 repeats steps 700-730 as necessary until it can no longer decrypt the service being broadcast indicating that the service that the client 12 was authorized to receive has ended.

The many features and advantages of the present invention are apparent from the detailed specification, and thus, it is intended by the appended claims to cover all such features and advantages of the invention which fall within the true spirit and scope of the invention.

Furthermore, since numerous modifications and variations will readily occur to those skilled in the art, it is not desired that the present invention be limited to the exact construction and operation illustrated and described herein, and accordingly, all suitable modifications and equivalents which may be resorted to are intended to fall within the scope of the claims.